

#3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/905,536

07/13/2001

Richard P. Dykstra

11460-111

26486
PERKINS, SMITH & COHEN LLP
ONE BEACON STREET
30TH FLOOR
BOSTON, MA 02108

CONFIRMATION NO. 3492 FORMALITIES LETTER
OC000000006487543

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10/15/2001 SDENBOB1 00000101 09905536

01 FC:105

130.00 OP

Please type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/905,536 **TRANSMITTAL Filing Date** July 13, 2001 **FORM** First Named Inventor Jerald P. Dykstra (to be used for all correspondence after initial filing) Group Art Unit 2881 **Examiner Name** N/A Attorney Docket Number Total Number of Pages in This Submission 14460 444

THIS CUDITIOSION	7 atome	y Docket Number	11400-111			
ENCLOSURES (check all that apply)						
	Assignment Papers (for an Application)		After Allowance Communication to Group			
	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
se	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
	Petition		Proprietary Information			
ion(s)	Petition to Convert to a Provisional Application		Status Letter			
quest	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
Express Abandonment Request			Post Card			
Statement	CD, Number of CD(s)					
Certified Copy of Priority Document(s)						
Parts/ n						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	TORCE OF ALLEION	ari, Arionaer, or	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
eg. No.: 48,811						
hathryn E. Mall						
Octobe	r 10,200	7/				
	se fon(s) quest t Request statement ity Parts/ n ing FR SIGNAT thryn E. Noll g. No.: 48,811	ENCLOSURES Assignment Pap (for an Application) Drawing(s) Licensing-related Petition Petition to Convert Provisional Appli Power of Attorne Change of Corre Terminal Disclair Request CD, Number of C Remarks Parts/ Convert SIGNATURE OF APPLICA thryn E. Noll g. No.: 48,811	ENCLOSURES (check all that apply) Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition Petition Petition Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request Request CD, Number of CD(s) Remarks SIGNATURE OF APPLICANT, ATTORNEY, OF			

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Kathryn E. Noll

Signature

Date

M. 10,2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	1	7	0	0	0

Complet if Known			
Application Number	09/905.536		
Filing Date	July 13, 2001		
First Named Inventor	Jerald P. Dykstra		
Examiner Name	N/A		
Group Art Unit	2881		
Attorney Docket No.	11460-111		

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES				
Denosit C	Large Small				
Account Number 03-2410 Order No.: 11460-	Entity Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid			
Deposit Account Perkins, Smith & Cohen		30			
Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. X Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to				
Check Credit card Money Other Other	Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month				
Code (\$) Code (\$) 101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims20** = X =	143 460 243 230 Design issue fee				
Independent - 3** = X = =	144 620 244 310 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Laura Fraith, O. H.F. etc.	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40			
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$)	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170	0.00			

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Kathryn E. Noll	00	Registration No. (Attorney/Agent)	48,811	Telephone	617-854-4000
Signature	mathrens.	11/0	U		Date	Mt. 102001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231 on October 10, 2001.

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerald P. Dykstra Examiner: N/A Serial Number: 09/905,536 Art Group: 2881

Filed: July 13, 2001 Docket No. 11460-111 For: GAS CLUSTER ION BEAM SIZE DIAGNOSTICS AND WORKPIECE PROCESSING

Confirmation No.: 3492

Perkins, Smith and Cohen, LLP One Beacon Street Boston, MA 02108 (617) 854-4000

TO: Assistant Commissioner of Patents ATTENTION: BOX MISSING PARTS Washington, D.C. 20231

RESPONSE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated August 28, 2001, an executed Declaration and Power of Attorney are submitted herewith together with a copy of the Notice to File Missing Parts and a check for \$170.00 covering the required fee for the Response to File Missing Parts and Assignment.

Also enclosed herewith, please find an Assignment which is to be recorded for the above-identified application.

Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, order 11460-111.

In accordance with Section 714.01 of the M.P.E.P., the following information is presented in the event that a call may be deemed desirable by the Examiner:

Kathryn E. Noll

(617) 854-4000

Dated: 0/1./0, 2001

Respectfully submitted,

Jerald P. Dykstra, Applicant

By:

Kathryn E/Nol.

Reg. #48/8/11

11460-111-RespMissParts